

## DECLARATION AND POWER OF ATTORN

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: VOLUME LIMITATION METHOD AND SYSTEM FOR A REAL-TIME  
COMPUTERIZED STOCK TRADING SYSTEM the specification of which ☐ is attached and/or ☒ was filed on August 18, 1999 as United States  
 Application Serial No. 09/376,377 or PCT International Application No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT international application(s) designating at least one country other than the United States, listed below and have also identified below, any foreign application(s) for patent or inventor's certificate, or any PCT International application(s) having a filing date before that of the application(s) of which priority is claimed:

Country	Application Number	Date of Filing	Priority Claimed Under 35 U.S.C.
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

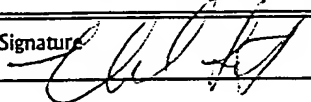
Application Number	Date of Filing
60/097,414	August 21, 1998

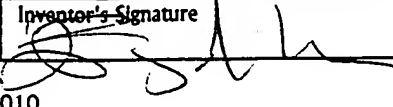
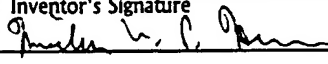
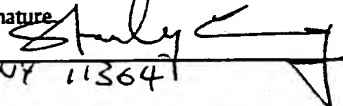
I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or § 365(c) of any PCT International application(s) designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application(s) in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application(s) and the national or PCT International filing date of this application:

Application Number	Date of Filing	Status (Patented, Pending, Abandoned)

I hereby appoint the following attorney and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P., Douglas B. Henderson, Reg. No. 20,291; Ford F. Farabow, Jr., Reg. No. 20,630; Arthur S. Garrett, Reg. No. 20,338; Donald R. Dunner, Reg. No. 19,073; Brian G. Brunsvold, Reg. No. 22,593; Tipton D. Jennings, IV, Reg. No. 20,645; Jerry D. Volght, Reg. No. 23,020; Laurence R. Hefter, Reg. No. 20,827; Kenneth E. Payne, Reg. No. 23,098; Herbert H. Mintz, Reg. No. 26,691; C. Larry O'Rourke, Reg. No. 26,014; Albert J. Santorelli, Reg. No. 22,610; Michael C. Elmer, Reg. No. 25,857; Richard H. Smith, Reg. No. 20,609; Stephen L. Peterson, Reg. No. 26,325; John M. Romary, Reg. No. 26,331; Bruce C. Zotter, Reg. No. 27,680; Dennis P. O'Reilly, Reg. No. 27,932; Allen M. Sokal, Reg. No. 26,695; Robert D. Bajefsky, Reg. No. 25,387; Richard L. Stroup, Reg. No. 28,478; David W. Hill, Reg. No. 28,220; Thomas L. Irving, Reg. No. 28,619; Charles E. Lipsey, Reg. No. 28,165; Thomas W. Winland, Reg. No. 27,605; Basil J. Lewis, Reg. No. 28,818; Martin I. Fuchs, Reg. No. 28,508; E. Robert Yoches, Reg. No. 30,120; Barry W. Graham, Reg. No. 29,924; Susan Haberman Griffen, Reg. No. 30,907; Richard B. Racine, Reg. No. 30,415; Thomas H. Jenkins, Reg. No. 30,857; Robert E. Converse, Jr., Reg. No. 27,432; Clair X. Mullen, Jr., Reg. No. 20,348; Christopher P. Foley, Reg. No. 31,354; John C. Paul, Reg. No. 30,413; Roger D. Taylor, Reg. No. 28,992; David M. Kelly, Reg. No. 30,953; Kenneth J. Meyers, Reg. No. 25,146; Carol P. Einaudi, Reg. No. 32,220; Walter Y. Boyd, Jr., Reg. No. 31,738; Steven M. Anzalone, Reg. No. 32,095; Jean B. Fordis, Reg. No. 32,984; Barbara C. McCurdy, Reg. No. 32,120; James K. Hammond, Reg. No. 31,964; Richard V. Burgujian, Reg. No. 31,744; J. Michael Jakes, Reg. No. 32,824; Thomas W. Banks, Reg. No. 32,719; Christopher P. Isaac, Reg. No. 32,616; Bryan C. Diner, Reg. No. 32,409; M. Paul Barker, Reg. No. 32,013; Andrew Chanhon Sonu, Reg. No. 33,457; David S. Forman, Reg. No. 33,694; Vincent P. Kovalick, Reg. No. 32,867; James W. Edmondson, Reg. No. 33,871; Michael R. McGurk, Reg. No. 32,045; Joann M. Neth, Reg. No. 36,363; Gerson S. Panitch, Reg. No. 33,751; Cheri M. Taylor, Reg. No. 33,216; Charles E. Van Horn, Reg. No. 40,266; Linda A. Wadler, Reg. No. 33,218; Jeffrey A. Berkowitz, Reg. No. 36,743; Michael R. Kelly, Reg. No. 33,921; and James B. Monroe, Reg. No. 33,971; and \_\_\_\_\_ Please address all correspondence to FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P., 1300 I Street, N.W., Washington, D.C. 20005, Telephone No. (202) 408-4000.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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Full Name of Seventh Inventor	Inventor's Signature	Date
Residence		Citizenship
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Full Name of Eighth Inventor	Inventor's Signature	Date
Residence		Citizenship
Post Office Address		



PATENT  
Customer Number 22,852  
Attorney Docket No.: 07444.0013-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Michael SATOW et al )  
Parent Application No.: 09/376,377 )  
Serial No.: Unassigned ) Group Art Unit: 3628  
Filed: August 5, 2003 ) Examiner: T. Bui  
For: VOLUME LIMITATION )  
METHOD AND SYSTEM FOR A )  
REAL-TIME COMPUTERIZED )  
STOCK TRADING SYSTEM )

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**PETITION FOR WITHDRAWAL AS ATTORNEY OR AGENT UNDER 37 C.F.R. § 1.36**

Pursuant to 37 C.F.R. §10.40(c), the attorneys of record for Applicant petition that the U.S. Patent and Trademark Office grant them permission to withdraw as attorneys of record in connection with continuation of parent Application No. 09/376,377.

Concurrent with this petition, the attorneys of record timely file a continuation of the parent application. This will ensure that all rights of the Applicant are maintained.

37 C.F.R. §10.40(c)(1)(vi) provides for permissive withdrawal of representation in a proceeding before the U.S. Patent and Trademark Office in cases where the petitioner's client has failed to pay one or more bills rendered by the practitioner for an

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unreasonable period of time and the petitioner has taken reasonable steps to avoid foreseeable prejudice to the client's rights by providing due notice of its intention to withdraw. Applicant has failed to pay counsel's invoices despite repeated attempts by counsel over a six month period to obtain payment. Counsel has informed Applicant of its intentions to withdraw as counsel including sending a letter to the client's last known address and to all known representatives notifying same of the Firm's intention to withdraw. Applicant's most recent contact information is as follows:

Kamran Khan  
135<sup>th</sup> East 57<sup>th</sup> Street  
31<sup>st</sup> Floor  
New York, NY 10022

Because the undersigned counsel has met the requirements of 37 C.F.R. §10.40(c)(1)(vi), and further representation would result in an undue hardship to counsel, it is respectfully submitted that this petition should be granted.

I hereby apply to withdraw as attorney or agent for the above-identified patent application. This petition is made in triplicate.

Please change the correspondence address and direct all future correspondence to the attention of Kamran Khan, 135<sup>th</sup> East 57<sup>th</sup> Street, 31<sup>st</sup> Floor, New York, NY 10022.

Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

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Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,

GARRETT & DUNNER, L.L.P.

Dated: August 5, 2003

By:   
Reg No. 44033

George D. Medlock, Jr.

Reg. No. 48,167

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